


**INCOMING PATENT DOCKETING PROCEDURES  
U.S. PATENT POSTCARDS RECEIVED FOR OTHER PATENT MATTERS**

CHECKED BY: HF1 DATE: 9/1/99 CASE NO: MNFRAME. 032A ATTY: \_\_\_\_\_

**108**  
**UTILITY/DESIGN PATENT** DATE: 8/19/99  
(amend/final amend) DATE OF O.A.: NONE  
Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail  
Atty Dkt # MNFRAME. 032A A/N: 08/942,005 Filed: 10/1/97  
Exr: S. NAJJAR Atty: ACC/DSS/HMC Art Unit: 2758  
Applicant(s): CHAKI & AL.  
Title: ALERT CONFIGURATOR AND MANAGER  
VERIFIED BY: Asst: OP Quality Control: \_\_\_\_\_  
☒ Transmittal Sheet in dupl. ☐ Amendment in \_\_\_\_\_ pgs.  
☐ \_\_\_\_\_ Mo. Ext. of Time in dupl. ☐ Small Entity Statement(s) \_\_\_\_\_  
☐ Req. for Drawing Changes, marked in RED ☐ Submission of Formal Drawings  
\_\_\_\_\_ pgs of Drawings Transmittal  
☒ Information Disclosure Statement; \_\_\_\_\_ pgs of Formal Drawings  
PTO-1449 w/ 12 Refs. \$ \_\_\_\_\_ Check for Amendment  
☐ Issue Fee Transmittal in dupl. \$ \_\_\_\_\_ Issue Fee Soft Copies  
\_\_\_\_\_  
\_\_\_\_\_  
☒ Return Postcard

AUG 23 1999  
PTO

7/96

Verified by: 

**1. IN THE SYSTEM:**

Access 3 UPDATE ACTION FILE & DOCKETS screen, then access 1 UPDATE ACTION DATA. Alt F5, type case number and press F3.

Alt F3 to search for one of the following action types "M/D MAINT FEE, M/D ISSUE FEE, US-ASSIGNMENT, M/D CORRECT F/R, MD PETITION PTO, or STATUS CHK PTO". Change "No" to "Yes" in same line as POSTCARD REC'D under Taken column.

FOR ALL OTHER POSTCARDS, Alt F3 to search for "MD DOCUMENT PTO", in the response sent date, enter the date we received the postcard at KMOB, and change completed to YES.

F8 to modify.

Note: If a client record does not exist for the case number, the document was probably not checked by quality control before it was filed with the PTO. Call for the file and enter all pertinent information after you receive the file. Remind the assistant to always send documents to the PTO through quality control.

**PRINT UP COVER SHEET**  
**DOUBLE CHECK YOUR WORK.**

07/10/99

108

# UTILITY/DESIGN PATENT

(amend/final-amend)

Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail

Atty Dkt # WIFRAME-032A A/N: 08/942,005 Filed: 10/1/97

Ext: 5, NIA MAIL Atty: ACC/D&H/PC Art Unit: 2758

Applicant(s): CHINA S. A.

Title: ALERT CONFIGURATION AND MANAGE

VERIFIED BY: Asst: QR Quality Control: RLF

☒ Transmittal Sheet in dupl. ☐ Amendment in      pgs.

☐      Mo. Ext. of Time in dupl. ☐ Small Entity Statement(s)

☐ Req. for Drawing Changes, marked in RED ☐ Submission of Formal Drawings

     pgs of Drawings Transmittal

☒ Information Disclosure Statement;

PTO-1449 w/ 12 Refs. ☐      pgs of Formal Drawings

☐ Issue Fee Transmittal in dupl. ☐ \$      Check for Amendment

☐      \$      Issue Fee Soft Copies ☐     

☐      ☐     

☒ Return Postcard

DATE: 8/19/99

DATE OF O.A.: NONE

8/23/99  
PATENT #14

Case Docket No. MNFRAME.032A  
Date: August 19, 1999

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Chari et al.  
Appl. No. : 08/942,005  
Filed : October 1, 1997  
For : ALERT CONFIGURATOR  
AND MANAGER  
Examiner : S. Najjar  
Group Art Unit : 2758

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

August 19, 1999

(Date)

John M. Carson, Reg. No. 34,303

**TRANSMITTAL LETTER**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
ATTENTION: APPLICATION BRANCH**

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with 12 references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410. A duplicate copy of this sheet is enclosed.
- (X) Return prepaid postcard.

John M. Carson  
Registration No. 34,303  
Attorney of Record

S:\DOCS\UMC\UMC-3076.DOC  
081999

8/23/99

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Chari et al.	)	Group Art Unit 2758
			)	
App. No.	:	08/942,005	)	
			)	
Filed	:	October 1, 1997	)	
			)	
For	:	ALERT CONFIGURATOR	)	
		AND MANAGER	)	
			)	
Examiner	:	S. Najjar	)	
			)	

---

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a notice of allowance under Section 1.311. A certification under 37 C.F.R. § 1.97(e)(2) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37

Appl. No. : 08/942,005  
Filed : October 1, 1997

C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 8/19/99

By: \_\_\_\_\_

John M. Carson  
Registration No. 34,303  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(619) 235-8550

S:\DOCS\JMC\JMC-3075.DOC  
081999